**CERTIFICATE OF MAILING (under 37 C.F.R. § 1.10 if checked)**

hereby certify that this transmittal along with all items checked below is being deposited with the United States Postal Service

on the date inserted below and is addressed to the Commissioner for Patents, Washington, D.C. 20231.

Devin P. Jones  
Signature of person mailing transmittal

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

COMMUNICATION TRANSMITTAL

Sir:

Enclosed for filing in connection with the above-identified patent application, and submitted in the order listed, are:

- ☒ Postcard receipt acknowledgment (attached to the front of this transmittal).
- ☒ Duplicate copy of this transmittal sheet in the event that additional filing fees are required under 37 C.F.R. § 1.16. Any such fees may be charged to deposit account no. 20-1469.
- ☐ Check no. in the amount of \$ for the presentation of extra claims as calculated in the remarks section below.
- ☐ Preliminary amendment.
- ☒ Amendment or other communication in response to the non-final office action mailed November 7, 2000.
- ☐ Amendment or other communication under 37 C.F.R. § 1.116 in response to the final office action mailed.
- ☐ Petition for Extension of Time in duplicate with check no. in the amount of \$.
- ☐ Verified statement(s) to establish small entity status under 37 C.F.R. § 1.9 and 37 C.F.R. § 1.27 signed by (or on behalf of).
- ☐ Information disclosure statement and information disclosure citation form PTO-1449 with copies of listed documents.

## Remarks:

- ☒ An amendment has been made involving one or more claims in the application. The calculation to determine whether any additional fee is due is presented below.

	1	2	3	\$EXTRA
Total claims	-	=	x 18.00 =	
Indep. claims	-	=	x 78.00 =	
First presentation of a multiple dep. claim (+260.00)				
SUBTOTAL				
Reduction for small entity - 50% of subtotal*				
TOTAL ADDITIONAL FEE (subtotal minus any reduction)				

\*Verified statement(s) must be attached to support this reduction if small entity status has not been previously established.

- 1 Claims remaining after amendment.
- 2 Highest number of claims previously paid for. Not less than 20 for total claims and 3 for independent claims.
- 3 Difference between claims remaining and highest number previously paid for. If less than zero, enter "0."

- ☒ The commissioner is authorized to charge any additional fees required but not submitted with any document or request requiring fee payment under 37 C.F.R. §§ 1.16 and 1.17 to deposit account no. 20-1469 during the entire pendency of this application.

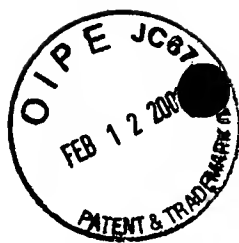
Respectfully submitted,

Kevin Zensen

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Date: February 7, 2001  
Enclosures: As identified above

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**PATENT**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**In re Application of:**

Pan et al.

**Serial No.:** 09/073,494

**Filed:** May 6, 1998

**For:** TECHNIQUE FOR ELIMINATION OF  
PITTING ON SILICON SUBSTRATE  
DURING GATE STACK ETCH

**Examiner:** H. Vu


**Group Art Unit:** 2811

**Attorney Docket No.:** 2915.1US (96-149.1)

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence along with any attachments referred to or identified as being attached or enclosed is being deposited with the United States Postal Service as First Class Mail (under 37 C.F.R. § 1.8(a)) on the date of deposit shown below with sufficient postage and in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

February 7, 2001  
Date of Deposit

  
Signature of registered practitioner or other person having reasonable basis to expect mailing to occur on date of deposit shown pursuant to 37 C.F.R. § 1.8(a)(1)(ii)

Devin R. Jensen  
Typed/printed name of person whose signature is contained above

**AMENDMENT**

Box Non Fee Amendment  
Commissioner for Patents  
Washington, D.C. 20231

Sir:

The following amendments and remarks are filed in response to the Examiner's remarks in the Office Action mailed November 7, 2000, the three-month shortened statutory period for response to which expires on February 7, 2001.

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